# EJE NO PENAL
EXPEDIENTE JUDICIAL ELECTRóNICO

PERÚ | Ministerio de Justicia y Derechos Humanos

# NEWSLETTER

March, 2021 / Year 1- Nº 2

WWW.EJENOPENAL.PE



## First meeting of information for links, technological modernization

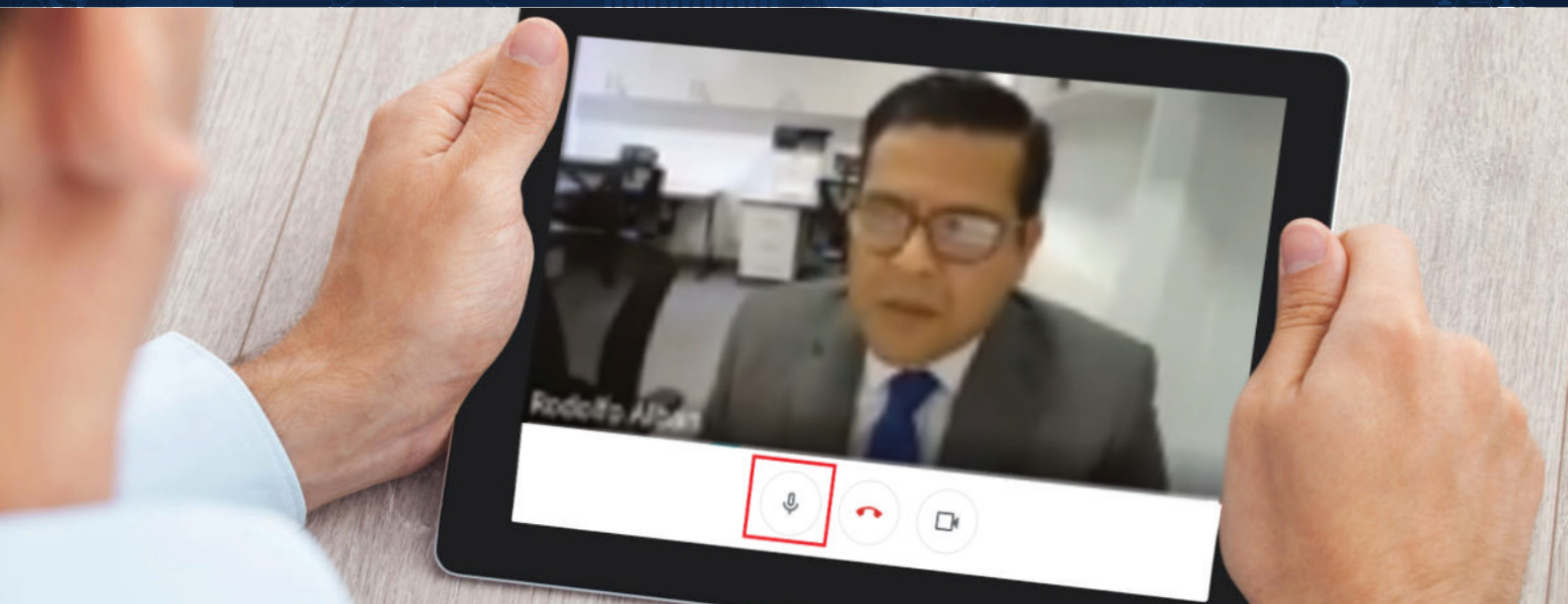### FIRST MEETING OF INFORMATION FOR LINKS

Within the framework of execution of the EJENOPENAL Program that is funded thanks to the technical cooperation of World Bank, the First virtual information meeting was held, which counted on the participation of links and supervisors of projects appointed by the participant entities of the Program.

The main purpose of this call was to inform the interventions made by the Executing Unit No. 003-PMSAJ-MINJUS in relation to the progress status of the EJE's supervision for the implementation of the electronic judicial file by promoting interoperability.

The session was directed by Dr. Oscar Zapata, Coordinator of the PMSAJ, and included the opening remarks of the Executive Director of

Participating institutions:

PERÚ Ministerio de Justicia y Derechos Humanos

PODER JUDICIAL DEL PERÚ

ACADEMIA DE LA MAGISTRATURA

Junta Nacional de Justicia

Tribunal Constitucional

Cooperating source:

BANCO MUNDIAL
BIRF · AIF

Presentation by our Executive Director Econ. Rodolfo Albán Guevara.

the Program, Eco. Rodolfo Albán Guevara, who declared that this first meeting was full of the spirit of information Exchange and good practices, to generate bilateral coordinations that allow to share some experiences regarding the execution of this important goal.

**Main Achievements**

In his speech, our Executive Director announced the progress made by the EU N ° 003-PMSAJ-MINJUS that began its activities in October of last year and that to date is in the approval phase of No Objections, for part of the World Bank, of the definitive studies of the projects of the National Board of Justice, Constitutional Court and Ministry of Justice.

Likewise, informed that the Project of the National Board of Justice is next to be incorporated to the PMI as it counts on the approval of the MINJUSDH.

In the same way, there is a No objection to the PAC that includes the terms of reference, the same that were sent in a timely manner by the JNJ and the TC, for which the necessary coordination with the procurement area is being carried out. to proceed to carry out the respective contracts as soon as possible.

On the other hand, the Coordinator of the PMSAJ, Dr. Oscar Zapata, mentioned that when starting this Change Program it is absolutely essential to activate a coordination space that brings together the leaders of the Information Technology offices of each of the member institutions of the Program for the Modernization of Justice Administration Systems, as the main step towards advancing its execution.

In this sense, he gave an account of the meetings that have been taking place with the leaders of the aforementioned ICT offices, which have been accompanied by the counterpart managers of the Judicial Branch and the Academy of the Magistracy, institutions

Participating institutions:

Cooperating source:

PERÚ Ministerio de Justicia y Derechos Humanos

PODER JUDICIAL DEL PERÚ

ACADEMIA DE LA MAGISTRATURA

Junta Nacional de Justicia

Tribunal Constitucional

BANCO MUNDIAL
BIRF • AIF

that, despite having their own Executing Unit, they have been actively participating in the meetings held, since a good part of the information requested comes from the Judiciary, which is the largest taxpayer of the information for the other institutions of the system. In addition, the PM-SAJ Coordinator made known the objectives and main points discussed in the meetings held with the leaders of the ICT offices of the entities participating in the program.

## FIRST MEETING OF INTEROPERABITY OF JUSTICE ADMINISTRATION SYSTEMS

The main objective of this first interoperability session with ICT leaders was to learn about the opportunities for technological improvement of the executing entities of the Justice System, for the implementation of the Electronic Judicial File (EJE) by promoting interoperability between the member entities of the Program. In this meeting the following points were developed:

The first point was to know the information needs of each of the Justice executing entities to define the informational reality between them.

In a second point, it was proposed to establish unique codes for files and files of human resources to be used by entities, in order to standardize the identification of files and files. This in order to identify them with a single code, which would help a lot to improve the speed and dynamics of the processes.

A third point involved the need to define training schemes so that human talent does not feel reluctant to change in technological modernization, this Issue was the common interest of the participants.

In the fourth point, the opportunities for improvement of information systems were identified, both at the software and hardware level, systems crashes, connection problems, the level of network traffic, the performance of the systems, load testing, stress, resistance, scalability, new trends in software development, container micro services, artificial intelligence, among others, and make the most important changes that are required.

In the fifth point, the best interoperability option that should be implemented was discussed, resulting in federated interoperability, which demands data from the source, constitutes the most widely accepted model to be incorporated into our reality.

Finally, as a last point, the issue of domain unification was discussed, which is important not to lose sight of:

- The Organizational Domain implies defining the policies and models of the processes that facilitate collaboration between entities that wish to exchange information.

- The Semantic Domain, to ensure and guarantee that the meaning of the definitions, words and modes of expression have universal values and contents for all the intervening entities; in other words, that the information of the exchanged data is such that it is understood without ambiguity by each and every one of the actors.

- Technical Domain, which guarantees independence in the choice of technological alternatives that make interconnection, integration and exchange of the data possi-

ble. Obviously, these do not have to be the same technological platforms in all cases, but they must have the ability to interact with each other and make an easy, safe and profitable interconnection.

On the other hand, it was revealed that the Judicial Power had approximately 186 databases, which creates great difficulty in offering information. However, it is known that significant efforts have been made to reduce the number of databases to only 86. The objective in this entity is that in no more than a couple of years it will be possible to have one database for each judicial district (35 in total).

Finally, it was highlighted that with the financial help that is available today, and with the political support that is fundamental, it will be possible to have a single database, with which up-to-date information will be obtained, which would considerably help the development and modernization of the whole system.

## SECOND MEETING OF INTEROPERABILITY OF JUSTICE SYSTEMS

At the second meeting, which was attended by representatives of the ICT offices of each of the participating institutions, the objective was to identify the shortcomings and weaknesses that they have. In this sense, the following work points were developed:

First point: Determine the capacity to deliver the required information from the beneficiary entities of the PMSAJ, taking into account that the main taxpayer of said information for all institutions is the Judicial

Power, which is why the ordering of its data consolidated at national level in a single database is fundamental.

Second point: Consolidate the files and dockets with unique codes that come from the source so as not to create a different definition and that these can respond to the information needs demanded by the institutions.

Third point: Know the real operational capacity of the institutions, for which purpose the Program and the beneficiary entities have the technicians, infrastructure, software, hardware, network connectivity and management of databases, etc. This is an issue that needs to be rethought since some institutions have excess infrastructure and others not so much.

Point four: The objective of the questionnaire is to detect the shortcomings of the institutions of the justice system so that they can generate their own responses as a result of their analysis.
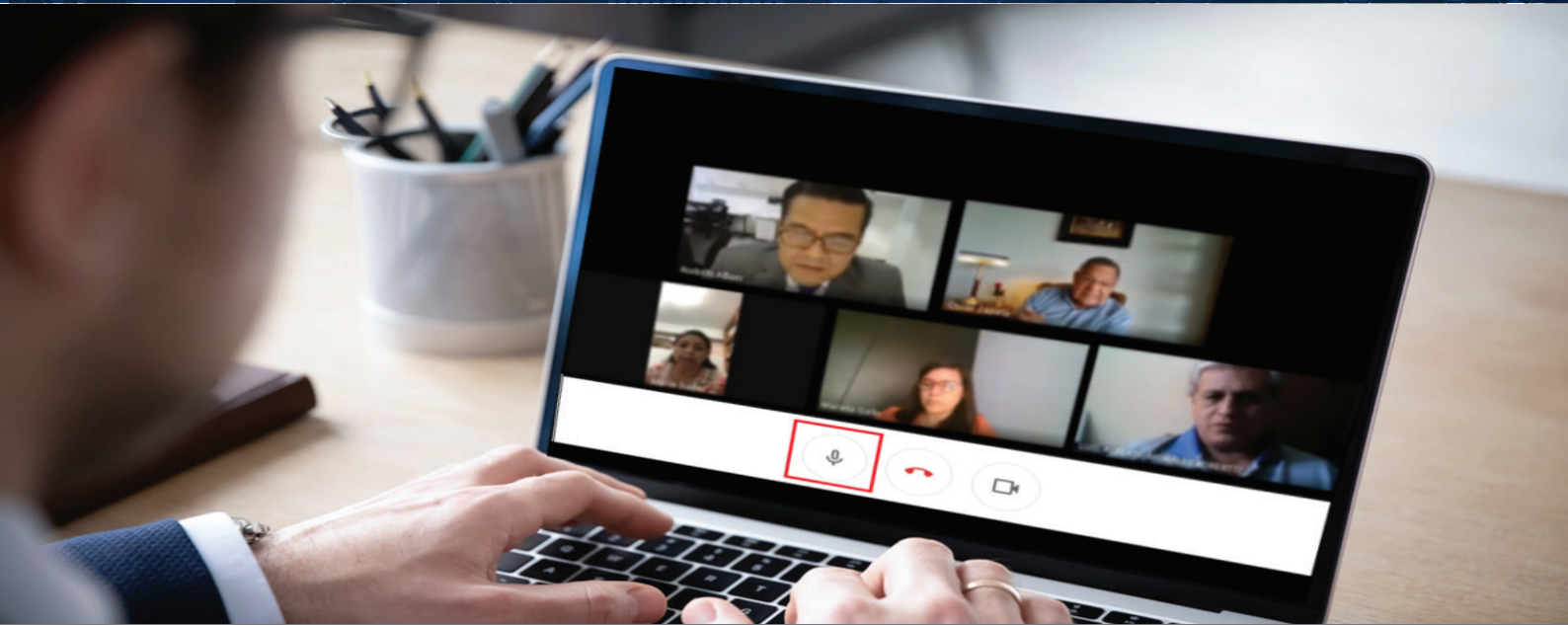
Fifth point: The mapping of processes that will be affected by the interoperability of each entity and by related entities (transversal processes) was developed as a process mapping format to control them, which was very professional and efficient.

Sixth point: Define what will be the mechanisms that will receive user requests and through which the results will be delivered. This is a fundamental point to consider very early to define what its limit or interface will be, be it a system, an APP on the cloud, a service, a component or a library.

Finally, from the interventions of the representatives of the entities that make up the Program, it was possible to perceive

Interventions of representatives of participantentities of the program

much coincidence with a good part of the conclusions reached. In addition, the fact of being able to intervene in what change management and knowledge management means has been fundamental. If a good education scheme is provided in this regard, it would be contributing significantly to the change management process.

These were the two interventions that took place as a consequence of having activated this space for dialogue and exchange with the information technologists designated by their respective institutions. This is how work will continue on this line of action, periodically reporting on the progress made.

In the agenda scheduled for this meeting, it was also considered to report on the status of the progress of the supervisors of the projects executed by the EU 003-PMSAJ. From said report it was learned that, on the side of the Ministry of Justice and Human Rights, there is a project called "Improvement of advisory services Free legal charge (ALEGRA) to achieve the proper implementation of the Electronic Judicial File (EJE) ", while in the case of the Constitutional Court the project" Improvement of the TC service delivery model to achieve the adequate implementation of the Judicial File Electronic (EJE) "and, with regard to the National Board of Justice, this entity has formulated its project entitled" Improvement of the JNJ service delivery model to achieve the proper implementation of the Electronic Judicial File (EJE) ".

**Progress status of the supervision of EJE**

In the tables that are presented below, all the data and characteristics corresponding to the three executed projects are collected, which allow the progress of the guidelines to be marked as a traffic light, for which the green color means delivered within the term, the yellow color represents a status in progress and the color red is pending.

## PROGRESS STATUS OF SUPERVISORS OF EJE MINJUSDH

It is observed in the description of the table corresponding to the MINJUSDH project that the first deliverables (first and second) were presented within the established period, pending the presentation of a third deliverable that will be sent soon –as we have been informed–. The objective of this last deliverable is to form a work team that will prepare a base study that will provide recommendations to improve the services of the Alegra and Mega Alegra centers in terms of access, efficiency and quality with a focus on existing and prospective users, all which will form part of the final study prior to the execution of the investment project MINJUS. Your status is in process.

## PROGRESS STATUS OF THE EJE SUPERVISORS

| ENTITY | PROJECT | COMPONENT | CONSULTING | CONSULTANT | EXECUTION OF THE CONTRACT | 1° DELIVERABLE (WORK PLAN) | 2° DELIVERABLE (DIAGNOSIS) | 3° DELIVERABLE (DIAGNOSIS) | OBJECTIVE/PURPOSE | STATUS | COMENTARY |
|---|---|---|---|---|---|---|---|---|---|---|---|
| M I N J U S D H | IMPROVEMENT OF FREE LEGAL ADVICE SERVICES (ALEGRA) TO ACHIEVE THE PROPER IMPLEMENTATIóN OF THE ELECTRONIC JUDICAL FILE (EJE) | Component 1 | Infrastructure Specialist for the prparation of a base Study for the PI MINJUSDH con CUI N° 2412545 | Orihuela Bastidas, Juan Manuel | 12/15/2020 | 12/25/2020 | 02/13/2021 | 03/15/2021 | from a work team that will prepare a base Study that provides reconmendation to improve the services of the ALEGRA and Mega ALEGRAS center in terms of access, efficiency and quality with a focus on existing and prospective users, which with be part of the previous Definitive Study to the execution of investment project MINJUSDH CUI 2412545 | In process | |
| | | Component 2 | Infrastructure Specialist for the prparation of a base Study for the PI MINJUSDH with CUI N° 2412545 | Quiroz Lezameta, Grecia Alicia | 12/15/2020 | 12/25/2020 | 02/13/2021 | 03/15/2021 | | In process | |
| | | Component 3 | Information Technology Specialist for the prparation of a base Study for the PI MINJUSDH with CUI N° 2412545 | Cok Aparcana, Manuel Cok | 12/15/2020 | 12/25/2020 | 02/13/2021 | 03/15/2021 | | In process | |
| | | Component 4 | Infrastructure Specialist for the prparation of a base Study for the PI MINJUSDH with CUI N° 2412545 | García Westphalen, Luis Enrique | 12/15/2020 | 12/25/2020 | 02/13/2021 | 03/15/2021 | | In process | |
| | | Proyect | Infrastructure Specialist for the prparation of a base Study for theI MINJUSDH with CUI N° 2412545 | Vignolo Huamani, Gisella Rosa | 12/15/2020 | 12/25/2020 | 02/13/2021 | 03/15/2021 | | In process | |
| | | Preparation of the Technical File - Palao Conditioning | Service Order for the Preparation of the Technical File - Palao Conditioning | | | | | | Conditioning of ALEGRAS | In process | Proceso de Licitación |
| | | Preparation of the Technical File - San Juan de Lurigancho Conditioning | Service Order for the Preparation of the Technical File - San Juan de Lurigancho Conditioning | | | | | | Conditioning of ALEGRAS | In process | Proceso de Licitación |
| Program Management | | Individual consulting for the development of Surveys | Development of Citizen Perception Surveys about the services provide by ALEGRAS | U. Católica del Perú | | | | | | In process | Contrato suscrito |

*Information from the Project Monitoring and Supervision area*

Participating institutions:

PERÚ Ministerio de Justicia y Derechos Humanos

PODER JUDICIAL DEL PERÚ

ACADEMIA DE LA MAGISTRATURA

Junta Nacional de Justicia

Tribunal Constitucional

Cooperating source:

**BANCO MUNDIAL**
BIRF • AIF

## PROGRESS STATUS OF SUPERVISORS OF EJE OF TC

As can be seen in the description of the table,the first deliverables (first and second) were presented within the established dates. The final study for the project is pending, which is in process. In this regard, it has been reported from said institution that, to process this action, the selection process will begin, (the Baseline must be updated, have indicators of the Procedural load, among others.), And it is currently in the stage of market study.



## PROGRESS STATUS OF THE EJE SUPERVISORS

| ENTITY | PROJECT | COMPONENT | CONSULTING | CONSULTANT | EXECUTION OF THE CONTRACT | 1° DELIVERABLE (WORK PLAN) | 2° DELIVERABLE (DIAGNOSIS) | 3° DELIVERABLE (DIAGNOSIS) | OBJECTIVE/PURPOSE | STATUS | COMENTARY |
|---|---|---|---|---|---|---|---|---|---|---|---|
| T C | IMPROVEMENT OF THE JUSTICE ADMINISTRATION SERVICE OF THE CONSTITUCIONAL COURT THROUGH THE IMPLEMENTATIÓN OF THE ELECTRONIC JUDICAL RECORD | Component 1 | Service of Analysis and Diagnosis of Jurisdictional and Administrative Processes to de Constitucional Court | Pechón Bustamante, Cecilia Jocelyn | 12/15/2020 | 30/12/2020 | 01/29/2021 | NO APPLICABLE | Obtain specialized studies that will be part of the Definive Studies prior to the execution of the investment project TC CUI 2412543for the implementation of interoperability between institutions throughthe Electrinic Judical File | Finished | |
| | | Component 2 | Service for the preparation of the Technological Architecture Diagnosis of the Constitucional Court | Gonzales Soto, Juan Pablo | 12/4/2020 | 12/19/2020 | 01/18/2021 | NO APPLICABLE | | Finished | |
| | | Component 3 | Development Service of the Digital Govemment Plan for the Constitucional Court | Aramburú García, Freddy Edgar | 12/15/2020 | 12/30/2020 | 01/14/2021 | NO APPLICABLE | | Finished | |
| | | Final Study | Preparation of the Final Study for the Project | | | | | | | In process | The Selection Process will begin. it is the Market Study stage |

*Information from the Project Monitoring and Supervision area*

## PROGRESS STATUS OF SUPERVISORS OF EJE JNJ

In the case of the National Board of Justice, whose project is already close to being incorporated into the PMI, which, in addition, has already been approved by the MINJUSDH, it will soon be providing resources to the project to carry out hiring quickly, so the project's progress status is still pending. Finally, the progress status of the supervision of the electronic judicial file (EJE) was presented, which contains the comments of the supervisors regarding each of the components that make up the three projects executed by the EU-N ° 003-PMSAJ.

### PROGRESS STATUS OF THE EJE SUPERVISORS

| ENTITY | PROJECT | COMPONENT | CONSULTING | CONSULTANT | EXECUTION OF THE CONTRACT | 1° DELIVERABLE (WORK PLAN) | 2° DELIVERABLE (DIAGNOSIS) | 3° DELIVERABLE (DIAGNOSIS) | OBJECTIVE/ PURPOSE | STATUS | COMENTARY |
|---|---|---|---|---|---|---|---|---|---|---|---|
| J N J | IMPROVEMENT OF THE SERVICE OF THE NATIONAL JUSTICE BOARD JNJ | Study Component 1 | EPreparation of the Final Study to develop Component 1 | | | | | | | Pending | Pending that the MINJUSDH approves the PMI and makes the modifying note to provide resources to the project |
| | | Study Component 2 | Preparation of the Final Study to develop Component 2 | | | | | | | Pending | |
| | | Study Component 3 | Preparation of the Final Study to develop Component 3 | | | | | | | Pending | Pending that the MINJUSDH approves the PMI and makes the modifying note to provide resources to the project |

*Information from the Project Monitoring and Supervision area*

**Supervisor Comments - MINJUSDH** The four components are in process as can be seen in the comment box that describes exactly the issues they are working on.

**Supervisor Comments** - TC In the case of the Constitutional Court, it is basically held that the three initial components of the project have been concluded at the 100% only remaining the final study that is in process Finally, there are also comments from the JNJ supervisor that, as mentioned before, his project has the approval of the PMI Multi-Year Investment Program, which is why the work should begin in a short time corresponding. Its progress status is therefore pending.

## DIALOGUE OPENING

The representative of the MINJUSDH, Ing. Vásquez, mentioned that there is currently a large number of databases and, however, the necessary efforts are being made to reduce them and centralize the information in a single database.

He also mentioned that one of the important issues in the development of interoperability among the entities participating in the Program consists of finding the best way to standardize the information requested from each of the institutions of the Justice System in order to consolidate a single database because, when the time comes, the information must be provided in an immediate, timely and accurate manner. It is therefore of utmost importance that those responsible for the Information Technology offices must work with their own databases, in order to consolidate it into a single data that responds to the objectives of the Project.

In turn, the representative of the National Board of Justice, Lic. Betty Marrujo, stated that despite the fact that the project was made viable in December of last year, to date her institution has managed to culminate with the preparation of the terms of reference which have already been submitted to the World Bank for approval, which will allow the definitive studies to begin shortly.

He also stressed the importance of interacting as liaison bodies of the Program, especially with the PJ, an institution that is the great tax of information for all entities of the Justice Administration System. Like her counterpart from the MINJUSDH, she also assured that through the interoperability of the

systems that are developed, you will get the information in a timely manner. And it is, therefore, interesting to see how in the development of the Program the beneficiary entities of the same are configuring a single entity with a single unified system.

On the other hand, it proposed as a necessary activity for the benefit of the Program to develop some agreements between institutions, aimed at facilitating the level of communication between them and thus help develop the formulation of these studies where said entities manage to coordinate and develop the issue of interoperability.

Likewise, Eng. Luis Alberto Morales, representative of the Constitutional Court, considered it important that, when implementing federated interoperability, each entity will be responsible for updating and storing the database, which will guarantee the issuance of data. unique. For this reason, it is necessary to promote the inter-institutional commitment to be available for the use of data by other entities.

Finally, Eco. Rodolfo Albán Guevara, Executive Director of the Program, stated that we are at an important moment: in the phase of formulating the final studies, so with the help of the links of the respective entities participating in the Program and the project supervisors, together with the dimension that we activate through the ICT Technology Managements, within the framework of interoperability, we will be able to effectively and efficiently define the studies to make the interoperability of all the members of the Administration System a reality. of Justice. "We are progressing quite well with each of the projects, quickly overcoming some inconveniences on the way to achieving the objectives set by the Program," he emphasized.

# EJE NO PENAL
EXPEDIENTE JUDICIAL ELECTRóNICO